

ARTIFICIAL INTELLIGENCE ACCEPTABLE USE POLICY

Version Date: March 1, 2025

This Artificial Intelligence Acceptable Use Policy (“**Policy**”) applies to Customer’s and its Authorized Users’ use of the Allvue AI Assistant Feature and any other service offered by Allvue Systems, LLC or its affiliates (“**Allvue**”) that incorporates artificial intelligence (collectively, the “**AI Features**”).¹ By using an AI Feature, Customer and its Authorized Users agree that any use of an AI Feature is subject to this Policy which is incorporated by reference into the [AI Assistant Terms and Conditions](#).

1. **Authorized Use.**

(a) The AI Features may only be used for legitimate business purposes related to services that Customer receives from Allvue. Any use for personal or non-work-related activities is strictly prohibited.

(b) Use of the AI Features must comply with all applicable laws, regulations, and Customer’s internal policies, including but not limited to laws, regulations, and policies on the use of intellectual property, data privacy, and confidentiality.

(c) Generated outputs from an AI Feature sometimes may be inaccurate or misleading, or otherwise reflect content that does not represent Allvue’s views. Customer and its Authorized Users must review and validate all generated outputs.

2. **Prohibited Uses.** Customer and its Authorized Users are prohibited from using the AI Features for the following purposes:

- (a) To violate the privacy rights of others, including unlawful tracking, monitoring, and identification;
- (b) In the attempt to elicit confidential information of any Allvue Customer or any other company;
- (c) For the dissemination of misleading, fraudulent, pornographic material or explicit nudity, or deceptive content that could lead to real-world harm;
- (d) For the promotion of terrorism or violent extremism;
- (e) For hateful or highly offensive content that attacks or dehumanizes a group based on race, ethnicity, national origin, religion, serious disease or disability, gender, age, or sexual orientation;
- (f) For the promotion, glorification, or threats of violence, illegal activities or goods;
- (g) For self-harm or the promotion of self-harm; or
- (h) For depictions of nude minors or minors in a sexual manner.

¹ Customer herein means the legal entity that has executed the main agreement (“**Main Agreement**”) with Allvue to receive Allvue’s SaaS services (the “**Allvue Platform**”) and designates who can use the Allvue Platform under its account (herein referred to as “**Authorized User**”) pursuant to the Main Agreement. All capitalized terms used but not defined herein shall have the meaning ascribed to such terms in the Main Agreement.

3. Unauthorized Uses of the AI Features.

(a) **Automated Decision-Making Process with Legal Effects:** The AI Feature should not be used as part of an automated decision-making process with legal or similarly significant effects, unless Customer or its relevant Authorized User ensures that the final decision is made by a human being.

(b) **Professional Advice:** The AI Features are not intended to serve as individualized advice that in the ordinary course of business would be provided by a licensed professional, including financial, legal, or medical advice. The AI Features should not be used to seek or provide financial, legal, medical, or other kinds of professional advice or any opinions, judgments, or recommendations without conducting independent consultation or research. The AI Features cannot replace advice provided by a qualified and/or credentialed professional and do not form any such relationship (e.g., attorney-client relationship).

For clarity, this section does not limit Customer or its Authorized Users from using the AI Features for other purposes, such as customer support in regulated industries, or to assist a licensed financial professional where the AI Features were not leveraged in the generation of individual advice. When the AI Features are used to assist in providing individualized advice, there must be a qualified person reviewing the output. For clarity, this section does not limit Customer or its Authorized Users from using the AI Features for other purposes, such as customer support in regulated industries, or to assist a licensed financial professional where the AI Features were not leveraged in the generation of individual advice. When the AI Features are used to assist in providing individualized advice, there must be a qualified person reviewing the output.

(c) **Predicting Protected Characteristics:** Customer and its Authorized Users are prohibited from using the AI Features to predict an individual's protected characteristic, including, but not limited to, racial or ethnic origin, financial status, past, current, or future political opinions, religious or philosophical beliefs, trade union membership, age, gender, sex life, sexual orientation, disability, health status, medical condition, criminal convictions, or likelihood to engage in criminal acts.

Additionally, Customer and its Authorized Users may not submit images of individuals for the purposes of creating or analyzing biometric identifiers, such as face prints or fingerprints or scans of eyes, hands, or facial geometry. For the dissemination of misleading, fraudulent, pornographic material or explicit nudity, or deceptive content that could lead to real-world harm;

(d) **AI/ ML Training:** Customer and its Authorized Users are prohibited from using the AI Features to train artificial intelligence or machine learning models. Customer must not allow third parties to use any content, data, output or other information received or derived from the AI Features to directly or indirectly create, train, test, or otherwise improve any machine learning algorithms or artificial intelligence systems, including any architectures, models, or weights.

4. Responsible AI Requirements.

(a) Customer is responsible for all decisions made, advice given, actions taken, and failures to take action based on Customer's and its Authorized Users' use of the AI Features. Output generated by an AI Feature is probabilistic and may produce inaccurate or inappropriate content. Outputs should be evaluated for accuracy and appropriateness for each use case.

(b) It is Customer's responsibility to ensure the compliance with any laws, rules, and regulations applicable to its Authorized Users' use of the AI Features.

(c) Allvue may investigate and enforce violations of this Policy. Allvue is committed to developing safe, fair, and accurate AI Features and providing its Customers with tools and guidance to assist in the building and using of AI Features responsibly.

Allvue may change this Policy from time to time by posting an updated version at <https://www.allvuesystems.com/legal-terms/artificial-intelligence/>, and such updates will be effective upon posting.